

J.P. Morgan Securities LLC
Member FINRA and SIPC

Use this form to

- Facilitate a direct rollover by providing the plan administrator with delivery instructions and an affirmation that J.P. Morgan Securities LLC (**JPMS**) agrees to serve as custodian for the below-named Individual Retirement Account (**IRA**). (This form is to be used in conjunction with your plan administrator's direct rollover request document(s).)

1. Tell Us About the Participant/Beneficiary

Participant/Beneficiary Name		
I am the (select one):		
<input type="radio"/> Participant	<input type="radio"/> Spouse beneficiary	<input type="radio"/> Non-spouse beneficiary
Legal/Residential Address (no PO Box or "In care of" address)		
City	State	ZIP Code

2. Tell Us About the Receiving IRA

Receiving IRA Account Title	Receiving IRA Account Number			
Receiving IRA Type (select one):				
<input type="radio"/> Traditional IRA	<input type="radio"/> Roth IRA	<input type="radio"/> SEP IRA	<input type="radio"/> Traditional Beneficiary IRA	<input type="radio"/> Roth Beneficiary IRA

3. Delivery Instructions to Provide to the Delivering Plan Provider

Check Instructions:

Please make check payable to:

J.P. Morgan Securities LLC

FBO:

Include Receiving Account Number;
state "Direct Rollover" on check memo line.

Overnight Delivery:

JPMS Lockbox 21002
7610 W. Washington St., Floor 1
Indianapolis, IN 46231-1335

Regular Mail:

JPMS Lockbox 21002
PO Box 44987
Indianapolis, IN 46244-0987

Wire Instructions:

J.P. Morgan Securities LLC

4 Chase Metrotech Center

Mail Code: NY1-C060

Brooklyn, NY 11245

ABA #: 021000021

F/A/O: JPMS

A/C #: 066-001633

For Further Credit to:

(Client Name)

(Acct #)

Further Instructions/Reference Details: "Direct Rollover"

IRA CUSTODIAN ACCEPTANCE:

JPMS, as successor custodian, agrees to accept the qualified retirement plan assets of the participant/beneficiary named above into the above-named IRA, subject to the following conditions:

JPMS does not accept and will not act as custodian of and for any assets and/or positions which are not actually rolled over to JPMS, and JPMS specifically does not approve any notation on the books and records of any entity, including, but not limited to, any mutual fund company, that indicates, implies and/or states that JPMS is the custodian of any assets held away from JPMS. The sender acknowledges and understands that unless JPMS as IRA custodian, investment manager or investment advisor, respectively, reviewed and accepted the assets being rolled over, JPMS reserves the right to return the assets to the sender.

INVESTMENT AND INSURANCE PRODUCTS:
• NOT A DEPOSIT • NOT FDIC INSURED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY
• NO BANK GUARANTEE • MAY LOSE VALUE